

EMIR Disclosure of Prices and Fees for Nanhua Financial (UK) Co Limited

Background

Article 38(1) of the European Market Infrastructure Regulation (EMIR) required clearing members to publicly disclose the prices and fees associated with each service provided, including any discounts or rebates and the conditions to benefit from them.

Article 39(7) of EMIR requires clearing members to publicly disclose the levels of protection and the costs associated with the different levels of segregation. This document sets out the costs referred to above.

Fees

Trading Commission	Maximum of 1/8% of the gross contract value
Exchange and Clearing fee	Maximum USD1 per tonne per side
Delegated Trade Reporting	Maximum USD 500 per month
Credit Lines	Credit Lines may be offered to clients opening an OSA account. Maximum of Clearing House Rate plus 5% for credit utilised
No Credit Line offered	OSA account no charge. ISA account maximum up to Clearing House Rate plus 5% on any margin shortfall incurred by NFC
OSA Accounts	There are no fees
ISA Accounts	Set-up fee USD 10,000. Annual Account fee USD 10,000

The Fees are the maximum currently charged by Nanhua Financial (UK) Co Limited and may differ depending on the individual client's circumstances including volumes and the Client's creditworthiness.

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